

# Dimensions and C\*-Algebras

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# Outline

- 1 Von Neumann Algebras
  - Classification into Types
  - Group Measure Space Construction
- 2 C\*-Algebras
  - Dimensions and de Rham Theory
  - The Baum-Connes Conjecture

# Rings of Operators



John von Neumann

## Definition

- $\pi: \Gamma \rightarrow U(H)$  a unitary representation of a group.
- **Commutant:**  
 $\mathfrak{M} = \{ T \in B(H) : T\pi(g) = \pi(g)T \}.$

Commutant = algebra of operators—a **von Neumann algebra**:

- $T \in \mathfrak{M} \Rightarrow T^* \in \mathfrak{M}.$
- $T_n \in \mathfrak{M} \ \& \ T_n v \rightarrow T v, \ \forall v \Rightarrow T \in \mathfrak{M}.$

# Projections and Subrepresentations

$$\mathfrak{M} = \{ T \in B(H) : T\pi(g) = \pi(g)T, \forall g \in \Gamma \}$$

- Subrepresentations of  $\pi$  correspond to projections in  $\mathfrak{M}$ .
- Equivalence of subrepresentations corresponds to **Murray-von Neumann equivalence** of projections:  
 $P \sim Q \Leftrightarrow P = UV, Q = VU$ .

## Definition

Denote by  $\text{Dim}(\mathfrak{M})$  the set of equivalence classes of projections—it is a **partially ordered set**

# Factors and Isotypical Representations

## Definition

A unitary representation  $\pi : \Gamma \rightarrow U(H)$  is **isotypical** if any two subrepresentations have equivalent subsubrepresentations.

## Theorem

*Every representation decomposes canonically into isotypical representations.*

## Theorem

*The commutants of isotypical representations are **factors**—von Neumann algebras with trivial centers.*

# Factors and Isotypical Representations

## Type I Isotypical Representations

- In simple situations, an isotypical representation is necessarily a multiple of a single irreducible representation.
- The corresponding factor is isomorphic to the algebra of all bounded operators on a Hilbert space  $K$ , where the dimension of  $K$  is equal to the multiplicity of the irreducible representation.

# Factors and Isotypical Representations

In general, isotypical representations can be far more complicated!

## Example

- Regular representation of a free group  $F$  on  $\ell^2(F)$ .
- It can be decomposed into irreducible representations in two (indeed many) totally disjoint ways.
- The complete structure of this representation is unknown.

# Murray-von Neumann Classification

## Theorem

*If  $\mathfrak{M}$  is a factor, then the partially ordered set  $\text{Dim}(\mathfrak{M})$  of equivalence classes of projections in  $\mathfrak{M}$  is isomorphic one of:*

- $\{0, 1, 2, \dots, N\}$       *Type I*
- $[0, K]$       *Type II*
- $\{0, \infty\}$       *Type III*

## Remark

Possibly  $N = \infty$  and  $K = \infty$ .



# Examples?

- $(X, \mu)$ : measure space.
- $G$ : discrete group of transformations of  $X$  (preserving the class of  $\mu$ )

## Definition

$\mathfrak{M}(G, X)$  is the von Neumann algebra of operators on  $L^2(G \times X)$  generated by:

- Multiplication operators  $M_f$ ,  $f \in L^\infty(X)$
- Unitary translation operators  $U_g$ ,  $g \in G$

such that

$$U_g M_f U_g^* = M_{g(f)}.$$

# Group Measure Space Construction

## Key Idea:

The unitary operators  $U_g \in \mathfrak{M}(G, X)$  implement the action of  $G$  on the algebra of measurable functions on  $X$ .

## Theorem

*Assume the action of  $G$  on  $X$  is free. Then  $\mathfrak{M}(G, X)$  is a factor if and only if the action of  $G$  on  $X$  is ergodic.*

## Theorem

*Assume that the action of  $G$  on  $X$  is ergodic. Then  $\mathfrak{M}(G, X)$  is of **type I or II** if and only if there is an invariant measure  $\nu$  in the class of  $\mu$ , in which case*

$$\text{Dim}(\mathfrak{M}(G, X)) \cong \{\nu(E) : E \subseteq X\}$$

# Examples

$X = \mathbb{Z}$ ,  $G = \mathbb{Z}$ , action by translations. Type I.

$X = S^1$ ,  $G = \mathbb{Z}$ , action by irrational rotations. Type II.

$X = S^1$ ,  $G = SL(2, \mathbb{Z})$ , action by projective transformations of  $S^1 \cong \mathbb{R}P^1$ . Type III.

# C\*-Algebras

## Definition

A **C\*-algebra** is a norm-closed  $*$ -algebra of operators on a Hilbert space.

- C\*-algebra:  $T_n \in A$  &  $\|T_n - T\| \rightarrow 0 \Rightarrow T \in A$ .
- Von Neumann algebra:  $T_n \in \mathfrak{M}$  &  $T_n v \rightarrow T v, \Rightarrow T \in \mathfrak{M}$ .
- Abelian C\*-algebra:  $C(X)$ .
- Abelian von Neumann algebra:  $L^\infty(X)$ .
- Abelian group C\*-algebra:  $C^*(G) = C(\widehat{G})$ .

# Crossed Product Algebras

- $X$ : smooth manifold.
- $G$ : discrete group of diffeomorphisms of  $X$ .

## Definition

The crossed product algebra  $C_\lambda^*(G, X)$  is the C\*-algebra of operators on  $L^2(G \times X)$  generated by:

- Multiplication operators  $M_f$ , where  $f$  is smooth and compactly supported.
- Unitary translation operators  $U_g$ ,  $g \in G$

such that

$$U_g M_f U_g^* = M_{g(f)}.$$

# Traces and Dimensions

## Lemma

Assume that there is a  $G$ -invariant measure  $\nu$  on  $X$ . The formula

$$\tau: \sum_{g \in G} M_{f_g} U_g \mapsto \int_X f_e(x) d\nu(x)$$

defines a **trace** on  $C_\lambda^*(G, X)$ :

$$\tau(xy) = \tau(yx), \quad \forall x, y \in C_\lambda^*(G, X).$$

- If  $p$  and  $q$  are equivalent projections, then  $\tau(p) = \tau(q)$ .
- We can ask: **what is the set of numerical dimensions**

$$\{ \tau(p) : p \in C_\lambda^*(G, X) \}?$$

# Traces and Dimensions

## Improved Question

- Trace  $\tau: A \rightarrow \mathbb{C}$
- What is

$$\text{Dim}_\tau(A) = \left\{ \begin{array}{l} \text{abelian group generated by} \\ \tau(p), \text{ where } p \in M_n(A) \end{array} \right\} ?$$

# The Irrational Rotation C\*-Algebra

## Example

Let  $X = S^1$ , and let  $G = \mathbb{Z}$  act on the circle by **rotations** through multiples of the angle  $2\pi\theta$ , where  $\theta$  is **irrational**. The C\*-algebra  $C_\lambda^*(G, X)$  is the **irrational rotation algebra**  $A_\theta$ .

## Theorem

$$\text{Dim}_\tau(A_\theta) = \mathbb{Z} + \theta\mathbb{Z}.$$

## Theorem (Rieffel)

$$\{ \tau(p) : p \in A \} = (\mathbb{Z} + \theta\mathbb{Z}) \cap [0, 1].$$

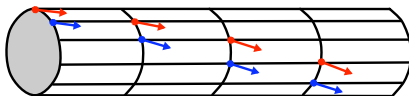


# Twisted Product

- $M$ : Connected manifold with fundamental group  $G$ .
- $X$ : Manifold with smooth action of  $G$ .

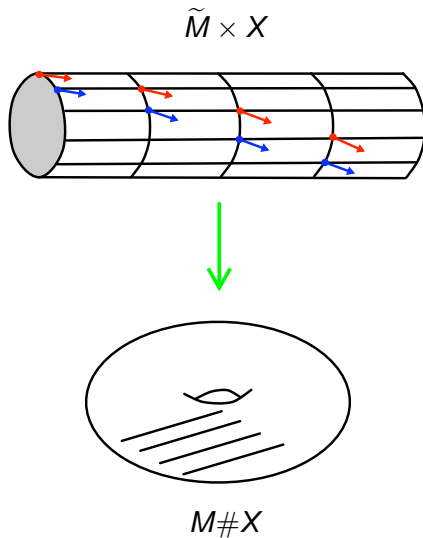
## Definition

$$M \# X = (\tilde{M} \times X) / G.$$



$$\tilde{M} \times X$$

# Twisted Product



# Ruelle-Sullivan Current

- $M$ : Connected manifold with fundamental group  $G$ .
- $X$ : Manifold with smooth action of  $G$ .
- $\nu$ : Invariant measure on  $X$

## Definition

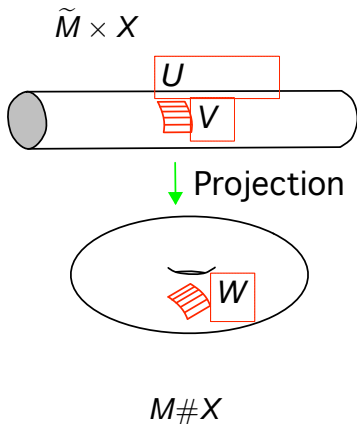
Let  $n = \dim(M)$ . Define  $C_\nu: \Omega_c^n(M\#X) \rightarrow \mathbb{R}$  as follows:

- If  $\omega$  is supported in a small set  $W \subseteq M\#X$  diffeomorphic to  $U \times V \subseteq \tilde{M} \times X$ ,

$$C_\nu(\omega) = \int_V \left( \int_{U \times \{x\}} \omega \right) d\nu(x).$$

- For general  $\omega$ , use a partition of unity.

# Ruelle-Sullivan Current



## Local Definition of Current

$$C_\nu(\omega) = \int_V \left( \int_{U \times \{x\}} \omega \right) d\nu(x).$$

# Conjecture on Dimensions

## Theorem

$C_\nu$  is closed:  $C_\nu(d\eta) = 0$ , for all  $\eta \in \Omega_C^{n-1}(M\#X)$ .

As a result,  $C_\nu$  defines a map  $C_\nu : H_C^n(M\#X) \rightarrow \mathbb{R}$ .

We are interested in values on **integral** cohomology classes ...

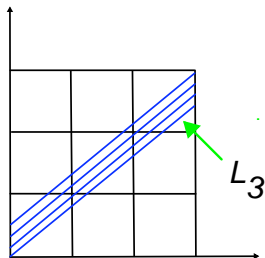
## Conjecture (Approximate Form)

$$\text{Dim}_\tau(C_\lambda^*(G, X)) = \{ C_\nu(\omega) : \omega \text{ closed and integral } \}.$$

# Irrational Rotations

## Example — Irrational Rotation

$$\{ C_\nu(\omega) : \omega \text{ closed, integral} \} = \mathbb{Z} + \theta\mathbb{Z}$$



## Alternate Definition of Current

$$C_\nu(\omega) = \lim_{N \rightarrow \infty} \frac{1}{N} \int_{L_N} \omega$$

# Some Consequences

## Corollary (of the Conjecture)

*Take  $X = pt$ , so that  $C_\lambda^*(G, X) = C_\lambda^*(G)$ . Then  $\text{Dim}_\tau(C_\lambda^*(G)) = \mathbb{Z}$ . As a result,  $C_\lambda^*(G)$  has no nontrivial projections.*

## Corollary (of the Conjecture, due to Connes)

*If the group  $G = \mathbb{Z}$  acts on  $X = S^3$  via a minimal diffeomorphism, then  $\text{Dim}_\tau(C_\lambda^*(G, X)) = \mathbb{Z}$ . As a result,  $C_\lambda^*(G, X)$  has no nontrivial projections.*

# Von Neumann Algebras, Revisited

Assume  $G$  acts on  $(X, \mu)$ . Define an action on  $(X \times \mathbb{R}, \mu \times \text{Lebesgue})$  by

$$g: (x, t) \mapsto (g \cdot x, \log \frac{dg_* \mu}{d\mu}(x) + t).$$

## Definition

The **module** of the given action of  $G$  on  $X$  is the pair  $\text{Mod}(G, X) = (\mathfrak{A}, \alpha)$ , where

- $\mathfrak{A} =$  abelian von Neumann algebra  $= L^\infty(X \times \mathbb{R})^G$
- $\alpha =$  action of  $\mathbb{R} =$  translation action.

## Theorem

The module is an *invariant of  $\mathfrak{M}(G, X)$* .



# Type III Factors

Assuming the action of  $G$  on  $X$  is ergodic, the module,  $\text{Mod}(G, X)$ , is an ergodic flow.

$\mu$   $G$ -invariant (of  $\exists$   $G$ -invariant measure in the class of  $\mu$ )  $\Rightarrow$   
Action of  $G$  on  $X \times \mathbb{R}$  is

$$g: (x, t) \mapsto (g \cdot x, t).$$

Conclusion: **Invariant measure  $\Rightarrow \text{Mod}(G, X)$  is  $L^\infty(\mathbb{R})$ , equipped with the standard translation action of  $\mathbb{R}$ .**

## Theorem

*If  $\text{Mod}(G, X)$  may be equipped with a finite,  $\mathbb{R}$ -invariant measure, then  $\mathfrak{M}(G, X)$  is a type III factor.*

# Finite Invariant Measure

- $Z$ : a manifold with commuting smooth  $G$  and  $\mathbb{R}$  actions.
- $\nu$ : a  $G \times \mathbb{R}$ -invariant measure on  $Z$  (typically **infinite**).
- $E \subseteq Z$ : a  $G$ -invariant subset.
- $\nu_E(S) = \nu(E \cap S)$ : a  $G$ -invariant measure on  $Z$ .
- $C_{\nu_E}: H_C^n(M\#Z) \rightarrow \mathbb{R}$ : Ruelle-Sullivan current.

## Lemma

Let  $\omega \in H_C^n(M\#Z)$ . The formula

$$\nu_\omega: E \mapsto C_{\nu_E}(\omega)$$

defines a finite,  $\mathbb{R}$ -invariant measure on  $L^\infty(M\#Z)^G$ .

# Finite Invariant Measure

## Lemma

Let  $\omega \in H_c^n(M \# Z)$ . The formula

$$\nu_\omega : E \mapsto C_{\nu_E}(\omega)$$

defines a finite,  $\mathbb{R}$ -invariant measure on  $L^\infty(M \# Z)^G$ .

## Proof.

Since  $\nu$  is  $\mathbb{R}$ -invariant,

$$\nu_\omega(t \cdot E) = C_{\nu_E}(t^* \omega)$$

By the homotopy invariance of cohomology,  $\omega = t^* \omega$  in  $H_c^n(M \# Z)$ . Now use the fact that  $C_{\nu_E}$  is a closed current. □

# Godbillon-Vey Class

## Theorem (Connes)

Assume that  $G$  acts ergodically on  $X = S^1$  by orientation preserving diffeomorphisms. If the Godbillon-Vey class

$$GV \in H^3(M \# X)$$

is nonzero, then  $\text{Mod}(G, X)$  has a finite  $\mathbb{R}$ -invariant measure.

## Proof.

The hypothesis  $GV \neq 0$  implies, via Poincaré duality, that a suitable measure  $\nu_\omega$  is not only finite but nonzero. □

## Remark

We take  $Z$  to be the space of 2-jets over  $X$ .

# C\*-Algebra K-Theory

Back to C\*-algebras ...

*A program which has been proposed several times suggests itself: since, in principle, a commutative C\*-algebra contains all possible information concerning its related compact Hausdorff space, it ought to be possible to extract topological information ring-theoretically. Nothing has yet come of this. Possibly the trouble is that the requisite constructions and calculations are beyond the resources of present-day ring theory.*

Irving Kaplansky, 1958

# K-Theory

## Definition

If  $A$  is a ring (with unit), then  $K(A)$  is the abelian group generated by classes  $[P]$ , where  $P$  is any projection in a matrix algebra over  $A$ , subject to the relations

- $[P] = [Q]$  if  $P$  and  $Q$  are equivalent ( $P = UV$  and  $Q = VU$ ).
- $[P_1] + [P_2] = \left[ \begin{pmatrix} P_1 & 0 \\ 0 & P_2 \end{pmatrix} \right]$ .

Thus  $K(A)$  is the universal dimension group for projections in matrix algebras over  $A$ .

# Bott Periodicity

## Theorem (Bott)

If  $A$  is any  $C^*$ -algebra, then

$$\pi_j(GL_\infty(A)) = \pi_{j+2}(GL_\infty(A)),$$

for all  $j$ .

## Notation

Denote by  $Z \times A$  the  $C^*$ -algebra of continuous functions from  $Z$  into the  $C^*$ -algebra  $A$ , vanishing at infinity.

## Equivalent Formulation

$$K(\mathbb{R}^{2k} \times A) \cong K(A).$$

# Assembly

## Notation

We shall write  $K(Z)$  in place of  $K(C_0(Z))$ . This is **Atiyah-Hirzebruch  $K$ -theory**. Thanks to Bott Periodicity it is a **generalized cohomology theory**.

## Assembly

Assume  $M$  has fundamental group  $G$ . There is a natural map

$$\mu: K(TM \# X) \rightarrow K(C_\lambda^*(G, X))$$

defined using Bott periodicity . . .



# Assembly

## More Notation

If  $A$  is a C\*-algebra on which  $G$  acts via C\*-algebra automorphisms, let  $M\#A$  be the C\*-algebra of continuous functions  $f: \tilde{M} \rightarrow A$  (vanishing at infinity in  $M$ ) such that  $f(g^{-1} \cdot x) = g \cdot f(x)$ .

## Example

- The algebra of functions on  $M\#X$  is  $M\#C_0(X)$ .

## Remarks

- If the action of  $G$  on  $A$  is trivial, then  $M\#A = M \times A$ .
- If the action is inner, then  $K(M\#A) = K(M \times A)$ .

# Assembly

## Definition

Assume  $M$  is an open subset of  $\mathbb{R}^{2k}$ . The assembly map

$$\mu: K(M\#X) \rightarrow K(C_\lambda^*(G, X))$$

is the composition

$$\begin{aligned} K(M\#X) &\rightarrow K(M\#C_\lambda^*(G, X)) \rightarrow K(M \times C_\lambda^*(G, X)) \\ &\rightarrow K(\mathbb{R}^{2k} \times C_\lambda^*(G, X)) \rightarrow K(C_\lambda^*(G, X)). \end{aligned}$$

Using periodicity again one can construct the general assembly map

$$\mu: K(TM\#X) \rightarrow K(C_\lambda^*(G, X))$$

defined for **any**  $M$  (not necessarily an open subset of  $\mathbb{R}^{2k}$ ).

# Baum-Connes Conjecture



Baum and Connes

## Conjecture

Assume that the universal cover of  $M$  is contractible. The assembly map

$$\mu: K(TM\#X) \rightarrow K(C_\lambda^*(G, X))$$

is an isomorphism of abelian groups.

# Baum-Connes Conjecture - Status

## Theorem (Higson and Kasparov)

*The Baum-Connes conjecture holds for groups which admit a proper, isometric action on Hilbert space.*

## Corollary (Bekka, Cherix, Valette)

*In particular, the conjecture holds for amenable groups.*

## Theorem (Yu, Tu)

*The Baum-Connes assembly map is (split) injective for groups which uniformly embed into Hilbert space.*

## Corollary (Guentner, Higson, Weinberger)

*In particular, the assembly map is injective for linear groups.*

# Baum-Connes Conjecture - Dimensions

## Theorem

*The diagram*

$$\begin{array}{ccc}
 K(TM \# X) & \xrightarrow{\mu} & K(C_\lambda^*(G, X)), \\
 \text{Ind}_\nu \downarrow & & \downarrow \tau_\nu \\
 \mathbb{R} & \xrightarrow{=} & \mathbb{R}
 \end{array}$$

where  $\text{Ind}_\nu(x) = C_\nu(\text{ch}(x) \text{Todd}(T_{\mathbb{C}}M))$ , is commutative.

## Corollary (of the Baum-Connes Conjecture)

$$\text{Dim}_\tau(C_\lambda^*(G, X)) = \{ C_\nu(\text{ch}(x) \text{Todd}(T_{\mathbb{C}}M)) : x \in K(TM \# X) \}$$