

# First Betti number and collapse

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## Abstract

We show that when a sequence of Riemannian manifolds collapses under a lower Ricci curvature bound, the first Betti number cannot drop more than the dimension.

## 1 Introduction

For  $n \in \mathbb{N}$ ,  $c \in \mathbb{R}$ ,  $D > 0$ , let  $\mathfrak{M}_{Ric}(n, c, D)$  (resp.  $\mathfrak{M}_{sec}(n, c, D)$ ) denote the class of closed  $n$ -dimensional Riemannian manifolds of Ricci curvature  $\geq c$  (resp. sectional curvature  $\geq c$ ) and diameter  $\leq D$ . A significant proportion of the subject consists of understanding the relationship between sequences  $X_i \in \mathfrak{M}_{Ric}(n, c, D)$  and their Gromov–Hausdorff limits. Our main result concerns the first Betti number of such limit space.

**Theorem 1.** Let  $X_i \in \mathfrak{M}_{Ric}(n, c, D)$  be a sequence with  $\beta_1(X_i) \geq r$  for each  $i$ . If  $X_i$  converges in the Gromov–Hausdorff sense to a space  $X$  containing a  $k$ -regular point, then

$$\beta_1(X) \geq r + k - n.$$

It has been known that for a Riemannian manifold  $M$  of almost non-negative Ricci curvature, if its first Betti number equals its dimension then  $M$  is homeomorphic to a torus. This result has been recently extended to singular spaces by Mondello, Mondino, and Perales [10]. A consequence of their work and Theorem 1 is the following.

**Corollary 2.** For each  $n \in \mathbb{N}$ , there is  $\varepsilon > 0$  such that if  $X_i \in \mathfrak{M}_{sec}(n, -1, \varepsilon)$  is a sequence of spaces with  $\beta_1(X_i) \geq n$  that converges in the Gromov–Hausdorff sense to a space  $X$  of Hausdorff dimension  $k$ , then  $X$  is bi-Hölder homeomorphic to a flat  $k$ -dimensional torus.

**Remark 3.** Theorem 1 shows that the first Betti number cannot drop more than the dimension. Contrastingly, the fundamental group can decrease in the limit even if there is no collapse: Otsu has constructed a sequence of metrics in  $\mathbb{S}^3 \times \mathbb{R}P^2$  of positive Ricci curvature that converges in the Gromov–Hausdorff sense to a simply connected 5-dimensional space [11].

Theorem 1 is an improvement of the main result of [15]. On the other hand, the goal of this program is to solve following problem.

**Question 4.** Assume a sequence  $X_i \in \mathfrak{M}_{Ric}(n, c, D)$  of spaces homeomorphic to the  $n$ -dimensional torus converges in the Gromov–Hausdorff sense to a space  $X$ . Is  $X$  necessarily homeomorphic to a torus?

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## 2 Preliminaries

In this section we recall the required material for Theorem 1 and Corollary 2, which we prove in the following section.

### 2.1 Gromov–Hausdorff topology

The basics on the subject can be found in ([2], Chapter 7).

**Definition 5.** We say that a function  $f : X \rightarrow Y$  between metric spaces is an  $\varepsilon$ -isometry if for all  $x_1, x_2 \in X$  one has  $|d^X(x_1, x_2) - d^Y(fx_1, fx_2)| \leq \varepsilon$ , and  $f(X)$  intersects each closed ball of radius  $\varepsilon$  in  $Y$ . We say that a sequence of functions  $f_i : X_i \rightarrow Y_i$  are *Gromov–Hausdorff approximations* if  $f_i$  is an  $\varepsilon_i$ -isometry for some sequence  $\varepsilon_i \rightarrow 0$ .

**Proposition 6.** (Gromov) Let  $X_i$  be a sequence of compact metric spaces, and let  $X$  be a complete metric space. Then the following are equivalent:

- There is a sequence  $f_i : X_i \rightarrow X$  of Gromov–Hausdorff approximations.
- There is a sequence  $h_i : X \rightarrow X_i$  of Gromov–Hausdorff approximations.

In either case,  $X$  is compact and one says that the sequence  $X_i$  *converges to  $X$  in the Gromov–Hausdorff sense*. Furthermore, there is a metric on the class of compact metric spaces modulo isometry that yields this topology.

**Definition 7.** We say that a function  $f : (X, x) \rightarrow (Y, y)$  between pointed metric spaces is an  $\varepsilon$ -isometry if  $fx = y$ , for all  $x_1, x_2 \in B^X(x, 2/\varepsilon)$  one has  $|d^X(x_1, x_2) - d^Y(fx_1, fx_2)| \leq \varepsilon$ , and  $f(B^X(x, 2/\varepsilon))$  intersects each closed ball of radius  $\varepsilon$  in  $B^Y(y, 1/\varepsilon)$ . We say that a sequence of functions  $f_i : (X_i, x_i) \rightarrow (Y_i, y_i)$  are *pointed Gromov–Hausdorff approximations* if  $f_i$  is a pointed  $\varepsilon_i$ -isometry for some sequence  $\varepsilon_i \rightarrow 0$ .

**Proposition 8.** (Gromov) Let  $(X_i, x_i)$  be a sequence of proper pointed metric spaces, and let  $(X, x)$  be a complete pointed metric space. Then the following are equivalent:

- There is a sequence  $f_i : (X_i, x_i) \rightarrow (X, x)$  of pointed Gromov–Hausdorff approximations.
- There is a sequence  $h_i : (X, x) \rightarrow (X_i, x_i)$  of pointed Gromov–Hausdorff approximations.

In either case,  $X$  is proper and one says that the sequence  $(X_i, x_i)$  *converges to  $(X, x)$  in the pointed Gromov–Hausdorff sense*. Furthermore, there is a metric on the class of proper pointed metric spaces modulo isometry that yields this topology.

For  $n \in \mathbb{N}$ ,  $c \in \mathbb{R}$ , we denote by  $\mathfrak{M}_{Ric}(n, c)$  the class of complete  $n$ -dimensional Riemannian manifolds of Ricci curvature  $\geq c$ . One reason we know so much about these families of spaces is because they are pre-compact with respect to the Gromov–Hausdorff topology.

**Theorem 9.** (Gromov) Let  $(Y_i, y_i)$  be a sequence with  $Y_i \in \mathfrak{M}_{Ric}(n, c)$  for each  $i$ . Then one can find a subsequence that converges in the pointed Gromov–Hausdorff sense to some proper metric space  $(Y, y)$ .

## 2.2 Equivariant Gromov–Hausdorff convergence

There is a well studied notion of convergence of group actions in this setting.

**Definition 10.** Let  $(Y_i, q_i)$  be a sequence of proper metric spaces that converges in the pointed Gromov–Hausdorff sense to a proper space  $(Y, q)$ . Consider pointed Gromov–Hausdorff approximations  $f_i : (Y_i, q_i) \rightarrow (Y, q)$  and  $h_i : (Y, q) \rightarrow (Y_i, q_i)$  such that  $d^Y(f_i \circ h_i(y), y) \rightarrow 0$  for all  $y \in Y$ . Also let  $\Gamma_i \leq \text{Iso}(Y_i)$  be a sequence of groups of isometries. We say that  $\Gamma_i$  converges in the equivariant Gromov–Hausdorff sense to a closed group  $\Gamma \leq \text{Iso}(Y)$  if for all  $R, \varepsilon > 0$ , one has the following:

- For each  $g \in \Gamma$ , there is  $i_0 \in \mathbb{N}$  such that for each  $i \geq i_0$  there is  $g_i \in \Gamma_i$  with  $d^Y(f_i \circ g_i \circ h_i(y), g(y)) \leq \varepsilon$  for all  $y \in B^Y(q, R)$ .
- There is  $i_0 \in \mathbb{N}$  such that if  $i \geq i_0$ ,  $g \in \Gamma_i$  with  $d^Y(gq_i, q_i) \leq R$ , then there is  $\gamma \in \Gamma$  such that  $d^Y(f_i \circ g \circ h_i(y), \gamma(y)) \leq \varepsilon$  for all  $y \in B^Y(q, 10R)$ .

Although this definition clearly depends on  $f_i$  and  $h_i$ , we usually omit this when we state that  $\Gamma_i$  converges to  $\Gamma$ .

This definition of equivariant convergence allows one to take limits before or after taking quotients.

**Lemma 11.** Let  $(Y_i, q_i)$  be a sequence of proper metric spaces that converges in the pointed Gromov–Hausdorff sense to a proper space  $(Y, q)$ , and  $\Gamma_i \leq \text{Iso}(Y_i)$  a sequence of isometry groups that converges in the equivariant Gromov–Hausdorff sense to a closed group  $\Gamma \leq \text{Iso}(Y)$ . Then the sequence  $(Y_i/\Gamma_i, [q_i])$  converges in the pointed Gromov–Hausdorff sense to  $(Y/\Gamma, [q])$ .

Since the isometry groups of proper metric spaces are locally compact, one has an Arzelá-Ascoli type result ([5], Proposition 3.6).

**Theorem 12.** Let  $(Y_i, q_i)$  be a sequence of proper metric spaces that converges in the pointed Gromov–Hausdorff sense to a proper space  $(Y, q)$ , and take a sequence  $\Gamma_i \leq \text{Iso}(Y_i)$  of groups of isometries. Then there is a subsequence  $(Y_{i_k}, q_{i_k}, \Gamma_{i_k})_{k \in \mathbb{N}}$  such that  $\Gamma_{i_k}$  converges in the equivariant Gromov–Hausdorff sense to a closed group  $\Gamma \leq \text{Iso}(Y)$ .

In [6], Gromov studied which is the structure of discrete groups that act transitively on spaces that look like  $\mathbb{R}^n$ . Using the Malcev embedding theorem, he showed that they look essentially like lattices in nilpotent Lie groups. In [1], Breuillard, Green and Tao studied in general what is the structure of discrete groups that have a large portion acting on a space of controlled doubling. It turns out that the answer is still essentially just lattices in nilpotent Lie groups. In [14], the ideas from [6] and [1] are used to obtain the following structure result.

**Theorem 13.** Let  $(Z, p)$  be a proper pointed geodesic space of topological dimension  $\ell \in \mathbb{N}$  and let  $(D_i, p_i)$  be a sequence of discrete metric spaces converging in the pointed Gromov–Hausdorff sense to  $(Z, p)$ . Assume there is a sequence of isometry groups  $\Gamma_i \leq \text{Iso}(D_i)$

that act transitively and for each  $i$ ,  $\Gamma_i$  is generated by its elements that move  $p_i$  at most 10. Then for large enough  $i$ , there are finite index subgroups  $G_i \leq \Gamma_i$  and finite normal subgroups  $F_i \triangleleft G_i$  such that  $G_i/F_i$  is isomorphic to a lattice in a nilpotent Lie group of dimension  $\ell$ . In particular, if the groups  $\Gamma_i$  are abelian, for large enough  $i$  their rank is at most  $\ell$ .

For  $k \in \mathbb{N}$ , a proper metric space  $X$ , we say that  $x \in X$  is a  $k$ -regular point if for any sequence  $\lambda_i \rightarrow \infty$ , the sequence  $(\lambda_i X, x)$  converges in the pointed Gromov–Hausdorff sense to  $\mathbb{R}^k$ . For limits of sequences in  $\mathfrak{M}_{Ric}(n, c)$ , almost all points are regular [3].

**Theorem 14.** (Cheeger–Colding) Let  $X_i \in \mathfrak{M}_{Ric}(n, c)$  converge in the pointed Gromov–Hausdorff sense to a space  $X$ . If  $\mathcal{R}_k$  denotes the set of  $k$ -regular points of  $X$ , then  $\mathcal{R}_k \neq \emptyset$  implies  $k \leq n$ , and  $\cup_{j=0}^n \mathcal{R}_k$  is dense in  $X$ .

Arguably the most used tool in the theory of Riemannian manifolds of non-negative Ricci curvature is the Cheeger–Gromoll splitting theorem. It was later generalized by Cheeger and Colding to limits of Riemannian manifolds [4]. Using this, one could understand how  $\mathbb{R}^k$  arises as a quotient of such spaces.

**Theorem 15.** (Cheeger–Colding) Let  $\varepsilon_i \rightarrow 0$  and  $(Y_i, q_i) \in \mathfrak{M}_{Ric}(n, -\varepsilon_i)$  a sequence that converges in the pointed Gromov–Hausdorff sense to  $(Y, q)$ . If  $Y$  contains an isometric copy of  $\mathbb{R}^k$ , then  $Y$  split as a metric space as  $\mathbb{R}^k \times Z$  for some proper geodesic space  $Z$  of Hausdorff dimension  $\leq n - k$ .

**Corollary 16.** Let  $\varepsilon_i \rightarrow 0$  and  $(Y_i, q_i) \in \mathfrak{M}_{Ric}(n, -\varepsilon_i)$  a sequence that converges in the pointed Gromov–Hausdorff sense to  $(Y, q)$ . Assume there is a sequence of groups of isometries  $\Gamma_i \leq Iso(Y_i)$  such that  $(Y_i/\Gamma_i, [q_i])$  converges in the pointed Gromov–Hausdorff sense to  $\mathbb{R}^k$  and  $\Gamma_i$  converges in the equivariant Gromov–Hausdorff sense to a group  $\Gamma \leq Iso(Y)$ . Then  $Y$  splits as a metric space as  $\mathbb{R}^k \times Z$  for some proper geodesic space  $Z$  of Hausdorff dimension  $\leq n - k$ , and the  $Z$ -fibers given by this product coincide with the orbits of  $\Gamma$ .

*Proof.* One can use the submetry  $\phi : Y \rightarrow Y/\Gamma = \mathbb{R}^k$  to lift the lines of  $\mathbb{R}^k$  to lines in  $Y$  passing through  $q$ . By Theorem 15, we get the desired splitting  $Y = \mathbb{R}^k \times Z$  with  $\phi(z_0, x) = x$  for all  $x \in \mathbb{R}^k$  and some  $z_0 \in Z$ .

Let  $g \in \Gamma$  and assume  $g(z_0, x) = (z, y)$  for some  $z_0, z \in Z, x, y \in \mathbb{R}^k$ . Then for all  $t \geq 1$ , one has

$$\begin{aligned} t|y - x| &= |\phi(z_0, x + t(y - x)) - \phi((z_0, x))| \\ &= |\phi(z_0, x + t(y - x)) - \phi(z, y)| \\ &\leq d^Y((z_0, x + t(y - x)), (z, y)) \\ &= \sqrt{d^Z(z_0, z)^2 + |(t - 1)(y - x)|^2}. \end{aligned}$$

As  $t \rightarrow \infty$ , this is only possible if  $x = y$ , and we conclude that the action of  $\Gamma$  respects the splitting  $Y = \mathbb{R}^k \times Z$ .  $\square$

## 2.3 Homology and Ricci curvature bounds

We define the *content* of a map  $A \rightarrow X$  between topological spaces to be the image of the natural map  $H_1(A) \rightarrow H_1(X)$ . If  $\mathcal{U}$  is a family of subsets of  $X$ , we denote by  $H_1(\mathcal{U} \prec X) \leq H_1(X)$  the subgroup generated by the contents of the inclusions  $U \rightarrow X$  with  $U \in \mathcal{U}$ . This group satisfies a natural monotonicity property.

**Lemma 17.** Let  $X$  be a topological space, and  $\mathcal{U}, \mathcal{V}$  two families of subsets of  $X$ . If for each  $U \in \mathcal{U}$  there is  $V \in \mathcal{V}$  with  $U \subset V$ , then  $H_1(\mathcal{U} \prec X) \leq H_1(\mathcal{V} \prec X)$ .

If  $\varepsilon > 0$ ,  $X$  is a metric space, and  $\mathcal{U}$  is the family of balls of radius  $\varepsilon$  in  $X$ , then we denote  $H_1(\mathcal{U} \prec X)$  simply by  $H_1^\varepsilon(X)$ . It has been recently shown that limits of sequences in  $\mathfrak{M}_{Ric}(n, c, D)$  are semi-locally-simply-connected [13].

**Theorem 18.** (Pan–Wang) Let  $X_i \in \mathfrak{M}_{Ric}(n, c, D)$  converge in the Gromov–Hausdorff sense to a space  $X$ . Then  $X$  is semi-locally-simply-connected. In particular,  $H_1^\varepsilon(X)$  is trivial for small enough  $\varepsilon$ .

**Theorem 19.** (Sormani–Wei) Let  $X$  be a compact geodesic space. Assume there is  $\varepsilon > 0$  such that  $H_1^{2\varepsilon}(X)$  is trivial, and let  $Y$  be a compact geodesic space with  $f : Y \rightarrow X$  an  $\varepsilon/100$ -approximation. Then there is a surjective morphism  $H_1(Y) \rightarrow H_1(X)$  (independent of  $\varepsilon$ ) whose kernel is precisely  $H_1^\varepsilon(Y)$ .

*Proof sketch:* We follow the lines of ([12], Theorem 2.1), where they prove this result for  $\pi_1$  instead of  $H_1$ . Each 1-cycle in  $Y$  can be thought as a family of loops  $\mathbb{S}^1 \rightarrow Y$  with integer multiplicity. For each map  $\gamma : \mathbb{S}^1 \rightarrow Y$ , by uniform continuity one could pick finitely many cyclically ordered points  $\{z_1, \dots, z_m\} \subset \mathbb{S}^1$  such that  $\gamma([z_{j-1}, z_j])$  is contained in a ball of radius  $\varepsilon/10$  for each  $j$ . Then set  $\phi(\gamma) : \mathbb{S}^1 \rightarrow X$  to be the loop with  $\phi(\gamma)(z_j) = f(\gamma(z_j))$  for each  $j$ , and  $\phi(\gamma)|_{[z_{j-1}, z_j]}$  a minimizing geodesic from  $\phi(\gamma)(z_{j-1})$  to  $\phi(\gamma)(z_j)$ .

Clearly,  $\phi(\gamma)$  depends on the choice of the points  $z_j$  and the minimizing paths  $\phi(\gamma)|_{[z_{j-1}, z_j]}$ . However, the homology class of  $\phi(\gamma)$  in  $H_1(X)$  does not depend on these choices, since different choices yield curves that are  $\varepsilon$ -uniformly close, which by hypothesis are homologous.

Assume that a 1-cycle  $c$  in  $Y$  is the boundary  $\partial\sigma$  of a 2-chain  $\sigma$ . After taking iterated barycentric subdivision, one could assume that each simplex of  $\sigma$  is contained in a ball of radius  $\varepsilon/10$ . By recreating  $\sigma$  in  $X$  via  $f$  simplex by simplex, one could find a 2-chain whose boundary is  $\phi(c)$ . This means that  $\phi$  induces a map  $\tilde{\phi} : H_1(Y) \rightarrow H_1(X)$ .

In a similar fashion, if a 1-cycle  $c$  in  $Y$  is such that  $\phi(c)$  is the boundary of a 2-chain  $\sigma$ , one could again apply iterated barycentric subdivision to obtain a 2-chain  $\sigma'$  in  $X$  whose boundary is  $\phi(c)$  and such that each simplex is contained in a ball of radius  $\varepsilon/10$ . Using  $f$  one could recreate the 1-skeleton of  $\sigma'$  in  $Y$  in such a way that expresses  $c$  as a linear combination with integer coefficients of 1-cycles contained in balls of radius  $\varepsilon$  in  $Y$ . This implies that the kernel of  $\tilde{\phi}$  is contained in  $H_1^\varepsilon(Y)$ .

If a 1-cycle  $c$  in  $Y$  is contained in a ball of radius  $\varepsilon$ , then  $\phi(c)$  is contained in a ball of radius  $2\varepsilon$  and then by hypothesis,  $\phi(c)$  is a boundary. This shows that the kernel of  $\tilde{\phi}$  is precisely  $H_1^\varepsilon(Y)$ .

Lastly, for any loop  $\gamma : \mathbb{S}^1 \rightarrow X$ , one can create via  $f$  a loop  $\gamma_1 : \mathbb{S}^1 \rightarrow Y$  such that  $\phi(\gamma_1)$  is uniformly close (and hence homologous) to  $\gamma$ , so  $\tilde{\phi}$  is surjective.  $\square$

**Corollary 20.** Let  $X$  be a compact geodesic space. Assume there is  $\rho > 0$  such that  $H_1^{2\rho}(X)$  is trivial, and consider a sequence  $X_i$  of compact geodesic spaces that converges to  $X$  in the Gromov–Hausdorff sense. Then there is a sequence  $\rho_i \rightarrow 0$  such that  $H_1^{\rho_i}(X_i) = H_1^\rho(X_i)$  for each  $i$ .

*Proof.* For large enough  $i$ , let  $\rho_i \in (0, \rho]$  be such that  $\rho_i \rightarrow 0$  and there is a  $\rho_i/100$ -approximation  $X_i \rightarrow X$ . One could then apply Theorem 19 for  $\varepsilon \in [\rho_i, \rho]$  to get a map  $H_1(X_i) \rightarrow H_1(X)$  whose kernel equals both  $H_1^{\rho_i}(X_i)$  and  $H_1^\rho(X_i)$ . For small  $i$ , simply set  $\rho_i = \rho$ .  $\square$

The following results were obtained in [8], and are stated in terms of  $\pi_1$ . The first one states that for  $M \in \mathfrak{M}_{Ric}(n, c, D)$ , there is a subgroup  $N \leq H_1(M)$  that can be detected anywhere. The second one states that at regular points, there is a gap phenomenon.

**Theorem 21.** (Kapovitch–Wilking) For each  $n \in \mathbb{N}$ ,  $c \in \mathbb{R}$ ,  $D > 0$ ,  $\varepsilon_1 > 0$ , there are  $\varepsilon_0 > 0$ ,  $C \in \mathbb{N}$ , such that the following holds. For each  $M \in \mathfrak{M}_{Ric}(n, c, D)$ , there is  $\varepsilon \in [\varepsilon_0, \varepsilon_1]$  and a subgroup  $N \leq H_1(M)$  such that for all  $x \in M$ ,

- $N$  lies in the content of the inclusion  $B^M(x, \varepsilon/1000) \rightarrow M$ .
- The index of  $N$  in the content of the inclusion  $B^M(x, \varepsilon) \rightarrow M$  is  $\leq C$ .

**Lemma 22.** (Kapovitch–Wilking) Let  $X_i \in \mathfrak{M}_{Ric}(n, c, D)$  converge in the Gromov–Hausdorff sense to a space  $X$ . Consider a  $k$ -regular point  $x \in X$ , and  $h_i : X \rightarrow X_i$  a sequence of Gromov–Hausdorff approximations. Then there is  $\eta > 0$  and a sequence  $\eta_i \rightarrow 0$  such that the contents of the inclusions  $B^{X_i}(h_i(x), \eta_i) \rightarrow X_i$ ,  $B^{X_i}(h_i(x), \eta) \rightarrow X_i$  coincide.

For the proof of Corollary 2 we require the following result from [10].

**Theorem 23.** (Mondello–Mondino–Perales) For each  $n \in \mathbb{N}$  there is  $\varepsilon > 0$  such that if  $X_i \in \mathfrak{M}_{sec}(n, -1, \varepsilon)$  converges in the Gromov–Hausdorff sense to a space  $X$  of Hausdorff dimension  $k$  and  $\beta_1(X) \geq k$ , then  $X$  is bi-Hölder homeomorphic to a flat  $k$ -dimensional torus.

### 3 Proof of the main results

*Proof of Theorem 1:* Let  $p \in X$  be a  $k$ -regular point,  $h_i : X \rightarrow X_i$  a sequence of Gromov–Hausdorff approximations, and set  $p_i := h_i(p)$ . Then by Theorem 22, there is  $\varepsilon_2 > 0$  and a sequence  $\eta_i \rightarrow 0$  such that the contents of the maps  $B^{X_i}(p_i, \eta_i) \rightarrow X_i$ ,  $B^{X_i}(p_i, \varepsilon_2) \rightarrow X_i$  coincide.

By Theorem 18, there is  $\varepsilon_1 \in (0, \varepsilon_2]$  such that for each  $x \in X$ , the content of the inclusion  $B^X(x, 2\varepsilon_1) \rightarrow X$  is trivial. By Theorem 19, all we need to show is that for large enough  $i$ ,  $H_1^{\varepsilon_1}(X_i)$  has rank  $\leq n - k$ . By Corollary 20, there is a sequence  $\rho_i \rightarrow 0$  with the property that  $H_1^{\rho_i}(X_i) = H_1^{\varepsilon_1}(X_i)$  for each  $i$ .

By Theorem 21, there are  $\varepsilon_0 > 0$ ,  $C \in \mathbb{N}$ , subgroups  $N_i \leq H_1(X_i)$ , and a sequence  $\delta_i \in [\varepsilon_0, \varepsilon_1]$  with the property that for each  $x \in X_i$ , the content of the map  $B^{X_i}(x, \delta_i) \rightarrow X_i$  contains  $N_i$  as a subgroup of index  $\leq C$ .

Let  $x_1, \dots, x_m \in X$  be such that  $X = \cup_{j=1}^m B^X(x_j, \varepsilon_0/3)$ , and set  $x_j^i := h_i(x_j)$ . Then for large enough  $i$ , the balls  $B^{X_i}(x_j^i, \varepsilon_0/2)$  cover  $X_i$ . This implies that for large enough  $i$ , each ball of radius  $\rho_i$  in  $X_i$  is contained in a ball of the form  $B^{X_i}(x_j^i, \varepsilon_0)$ . Hence if we let  $\mathcal{U}_i$  denote the family  $\{B^{X_i}(x_j^i, \delta_i)\}_{j=1}^m$ , then by Lemma 17 we get

$$H_1^{\rho_i}(X_i) \leq H_1(\mathcal{U}_i \prec X_i) \leq H_1^{\varepsilon_1}(X_i) = H_1^{\rho_i}(X_i).$$

Since  $H_1^{\mathcal{U}_i}(X_i)$  is generated by the contents of the inclusions  $B^{X_i}(x_j^i, \delta_i) \rightarrow X_i$ , the index of  $N_i$  in  $H_1^{\mathcal{U}_i}(X_i)$  is at most  $C^m$ . Therefore, the rank of  $H_1^{\varepsilon_1}(X_i)$  equals the rank of  $N_i$  for all large enough  $i$ .

Let  $\Gamma_i \leq H_1(X_i)$  denote the content of the inclusion  $B^{X_i}(p_i, \varepsilon_2) \rightarrow X_i$ . Since  $\varepsilon_2 \geq \varepsilon_1$ ,  $\Gamma_i$  contains  $N_i$ , and since  $\Gamma_i$  equals the content of the inclusion  $B^{X_i}(p_i, \eta_i) \rightarrow X_i$ , and  $\eta_i \leq \varepsilon_0$  for large enough  $i$ , the index of  $N_i$  in  $\Gamma_i$  is finite. Hence Theorem 1 will follow from the following claim.

**Claim:** For large enough  $i$ ,  $\Gamma_i$  has rank  $\leq n - k$ .

Let  $\lambda_i \rightarrow \infty$  be a sequence that diverges so slowly that  $\lambda_i \eta_i \rightarrow 0$  and the sequence  $(\lambda_i X_i, p_i)$  converges in the pointed Gromov–Hausdorff sense to  $\mathbb{R}^k$ . We can achieve this since  $p$  is  $k$ -regular and  $\eta_i \rightarrow 0$ .

Let  $(Y_i, q_i)$  denote the regular cover of  $(\lambda X_i, p_i)$  with Galois group  $H_1(X_i)$ . By Theorem 9 and Theorem 12, we can assume that the sequence  $(Y_i, q_i)$  converges in the pointed Gromov–Hausdorff sense to a proper geodesic space  $(Y, q)$ , and the groups  $H_1(X_i)$  converge in the equivariant Gromov–Hausdorff sense to some group  $\Gamma \leq Iso(Y)$ . Since all elements of  $H_1(X_i) \setminus \Gamma_i$  move  $q_i$  at least  $\varepsilon_2 \lambda_i$  away, the equivariant Gromov–Hausdorff limit of  $\Gamma_i$  equals  $\Gamma$  as well. Note that from the definition of equivariant Gromov–Hausdorff convergence, it follows that the  $\Gamma_i$ -orbits of  $q_i$  converge in the pointed Gromov–Hausdorff sense to the  $\Gamma$ -orbit of  $q$ .

By Corollary 16,  $Y$  splits isometrically as a product  $\mathbb{R}^k \times Z$  with  $Z$  a proper geodesic space of Hausdorff dimension  $\leq n - k$ , such that the  $Z$ -fibers coincide with the  $\Gamma$ -orbits. Since the topological dimension is always dominated by the Hausdorff dimension ([7], Chapter 7), the topological dimension of  $Z$  is at most  $n - k$ . Then by Theorem 13, the rank of  $\Gamma_i$  is at most  $n - k$  for large enough  $i$ .  $\square$

*Proof of Corollary 2:* Let  $\varepsilon > 0$  be given by Theorem 23. By Theorem 1,  $\beta_1(X) \geq k$ , and the result follows.  $\square$

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